

FM-HOL

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Binding

FM =“Frankel-Mostowski”. Just a label. 60 ago developed a set theory which turned out to underlie the original **FM** work ([Gabbay-Pitts LICS'99]). Named in their honour.

FM-HOL is a Higher-Order Logic (**HOL**) for implementing and manipulating syntax-with-binding at object level. I.e. build datatypes of syntax, prove theorems on them.

For example?

Binding

Automath uses De Bruijn indices:

$$(1) \quad \Lambda \stackrel{\text{def}}{=} \mathbf{Var} \text{ of } \mathbb{N} + \mathbf{App} \text{ of } \Lambda \times \Lambda + \mathbf{Lam} \text{ of } \Lambda$$

Good: α -equivalence is literal equality, but

Less good: inductive principle derived from this is 'twisted'.

$$(2) \quad \begin{aligned} & (\forall i. \phi(\mathbf{Var}(i)) \quad \wedge \\ & \forall t_1, t_2. \phi(t_1) \wedge \phi(t_2) \rightarrow \phi(\mathbf{App}(t_1, t_2)) \quad \wedge \\ & \forall t. \phi(t) \rightarrow \phi(\mathbf{Lam}(t))) \\ & \rightarrow \forall t. \phi(t). \end{aligned}$$

Binding

Representation should reflect understanding.

Should observe that there are many forms of understanding: theoretical, implementational, ergonomical. Many forms of representation: De Bruijn, HOAS, naïve representation, sheaves, Isabelle terms... now [FM](#).

Current development weighted towards theoretical/ergonomic. Implementation, “computationally efficient” [FM](#), partly the object of [FreshML](#) research. Discussed here is the theoretical/ergonomic side of [FM](#) packaged in [FM-HOL](#).

Theoretical/Ergonomical: \mathbb{A} , **Tran**

What is there to support? What do we do with syntax?

- Variable names. Call them **atoms**, **FM-HOL** has type \mathbb{A} .
- Rename variable names. In terms t , but also predicates/proofs P . E.g. de facto we assume if $P(x)$ then $P(y)$ for x, y 'suitably fresh' variable names.

FM has constant symbol **transposition**:

$$\mathbf{Tran} : \mathbb{A} \rightarrow \mathbb{A} \rightarrow \alpha \rightarrow \alpha$$

written $(a\ b).t$, $(a\ b).P$. Structure of these elements?

Equivariance

$(a\ b).a = b$, $(a\ b).b = a$, $(a\ b).n = n$ for $n \neq a, b$.

Tran is **equivariant**:

$$(a\ b).f(x) = ((a\ b).f)((a\ b).x)$$

$$(a\ b).c = c \quad c \text{ closed.}$$

$$(a\ b).f(x, y, z, \dots) = f((a\ b).x, (a\ b).y, (a\ b).z, \dots)$$

$$(a\ b).\mathbf{Inl}(\mathbf{Inr}(b)) = \mathbf{Inl}(\mathbf{Inr}(a))$$

$$(a\ b).\mathit{Proof}_{x,y}(b) \iff \mathit{Proof}_{(a\ b).x, (a\ b).y}(a)$$

Application of **Tran**

Instead of $tm[z/y]$ use $(z\ y).tm$. Of course if z and y free in tm we need inductively defined $[z/y]$ function as before (e.g. π -calculus input $xy.P \xrightarrow{xz} P[z/y]$). In case where z is chosen 'fresh', the two coincide. Compare:

$$\mathbf{Lam}(a.s) =_{\alpha} \mathbf{Lam}(b.t) \leftarrow [c/a]s =_{\alpha} [c/b]t \quad c \notin \mathbf{FV}(s), \mathbf{FV}(t)$$

$$\mathbf{Lam}(a.s) =_{\alpha} \mathbf{Lam}(b.t) \leftarrow \forall c. (c\ a).s =_{\alpha} (c\ b).t$$

Being tied to **FV** pernicious & unnecessary.

Freshness

Every $X \subseteq \mathbb{A}$ (HOL sets) either ‘small’ or ‘large’:

$$\mathcal{P}(\mathbb{A}) = S + \mathcal{N} \quad S = \{\mathbb{A} \setminus L \mid L \in \mathcal{N}\}$$

- Has a notion of ‘for fresh a ’ absent in usual theories (“ $c \notin FV(s)$ ”). Define quantifier:

$$\forall a. P(a) \stackrel{\text{def}}{=} P \in \mathcal{N}$$

$$\left| \begin{array}{c} \mathbb{A} \setminus P \\ \longleftrightarrow \\ \text{small} \end{array} \right| \left(\begin{array}{c} \longleftarrow P \\ \text{large} \end{array} \longrightarrow \dots \longrightarrow \right)$$

Think ‘**small=finite**’, ‘**large=cofinite**’. Recall previous slide.

Abstractions

\forall commutes with \wedge , \vee , \neg , \rightarrow . E.g.

$$\forall a. P(a) \wedge Q(a) \iff \forall a. P(a) \wedge \forall a. Q(a).$$

De facto assumption in practice anyway. Useful for automation.
Actual definition of ‘small’ finely crafted.

Using \forall and **Tran** construct **abstraction types**:

$$x_* = a.x : \mathbb{A}. \alpha \qquad a.a = b.b$$

“ $\langle a, x \rangle$ with a bound”, also “function takes fresh a returns x_* concreted at a ”. $\mathbb{A}.$ — functor with left & right adjoints. Commutes with $+$, \times , \rightarrow , 0 , 1 .

Syntax-with-binding

Inductive representations of syntax with bound variables,

$$\Lambda \stackrel{\text{def}}{=} \mathbb{A} + \Lambda \times \Lambda + \mathbb{A}.\Lambda,$$

$$\Pi \stackrel{\text{def}}{=} 1 + \Pi \times \Pi + \mathbb{A} \times \mathbb{A} \times \Pi + \mathbb{A} \times \mathbb{A}.\Pi + \mathbb{A}.\Pi.$$

Also ‘exotic’ entities: lists, trees, graphs. Exotic abstraction, [\$\mathbb{A}\$ -*lst*. \$\alpha\$](#) . Analysis of binding ‘in nature’ my current excitement. Make relevant, implementable. Make abstractions and more sophisticated descendents α y. I seek proofs and defs in trouble because of binding. Seemingly no great shortage.

Really, I mean it!

$$(3) \quad \frac{P_1 \xrightarrow{\bar{x}(y)} P'_1 \quad P_2 \xrightarrow{xy} P'_2}{P_1 \mid P_2 \xrightarrow{\tau} \nu y.(P'_1 \mid P'_2)} \quad y \notin fn(P_1), fn(P_2)$$

becomes using simple convention

$$(4) \quad \frac{P_1 \xrightarrow{\bar{x}(y)} P'_1 \quad P_2 \xrightarrow{xy} P'_2}{P_1 \mid P_2 \xrightarrow{\tau} \nu y.(P'_1 \mid P'_2)}.$$

Note $y \notin fn(P_1)$.

Support for FM

- Theoreticians: **FM-HOL**, **FM** sets, others. Roll your own, e.g. Nominal Logic. \mathcal{V} already in use outside immediate **FM** group. Abstraction types not yet so much, should be.
- Programmers: **FreshML** project. Augment ML with \mathcal{V} -like constructor, need simple, decidable, useful, type system to prevent 'escape'.
- Uni£cation: Current joint research with Pitts & Urban.
- Dependent types: See **FM-HOL** as £rst step.
- Automath & sisters: Isabelle/**HOL/FM**. Clunky?

FM-HOL in this paper

- Theoreticians: FM Universe
- Myself: Understand structure of theorems better, properties needed of S. Encoded in this paper my understanding (as of 2 months ago) of what FM is and how the definitions fit together. Ideological/artistic self-expression.
- Dependent types: With this understanding, build dependent type theory.
- Automath & sisters: Verify FM-HOL models abstractions in nature, then implement it. Isabelle/FM-HOL, slick!